



ATTORNEY'S DOCKET: 0492611-0350/MIT7699

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

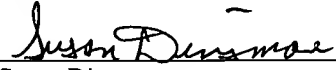
Applicant: Miller, et al. Patent No.: 6,803,719 B1
Serial No: 09/350,956 Issued: October 12, 2004
Filed : July 9, 1999
For: QUANTUM DOT WHITE AND COLORED LIGHT-EMITTING DEVICES

Commissioner for Patents
P.O. Box 1450
Alexandria, VA, 22313-1450

Certificate
NOV 30 2004
of Correction

CERTIFICATE OF MAILING

I hereby certify that the foregoing document is being deposited with the United States Postal Service as first class mail, postage prepaid, "Post Office to Addressee", in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA, 22313-1450 on November 19, 2004.


Susan Dinsmore

**REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT
FOR APPLICANTS' MISTAKE (37 CFR 1.323)**

Attached in duplicate is Form PTO-1050 with at least one copy being suitable for printing.

The exact location where the errors occur are:

On the first page, under Inventors, Mounji E. Bawendi, should be **Mounji G. Bawendi**.

On the first page, under Assignee, please change the Assignee to:

--Massachusetts Institute of Technology and Lumileds Lighting U.S., LLC--

11/23/2004 CNGUYEN 00000036 6803719

01 FC:1811

100.00 DP

REMARKS

The present Request is filed to correct the above-referenced patent to correct the spelling of an inventor's name.

The present Request is filed to correct the above-referenced patent to add Lumileds Lighting U.S., LLC, as an Assignee to this patent, as evidenced by the Recordation Form Cover Sheet forwarded to the Patent and Trademark Office on August 30, 2004, a copy of which is attached hereto.

The required fee of \$100.00 is enclosed herewith. Please charge any other fees associated with this filing, or apply any credits, to our Deposit Account No. 03-1721.

Please send the Certificate to:

Elizabeth E. Nugent
Choate, Hall & Stewart
Exchange Place
53 State Street
Boston, MA 02109

Date: November 19, 2004

Respectfully submitted,



Elizabeth E. Nugent, Ph.D.
Registration No. 43,839

CHOATE, HALL & STEWART
Exchange Place
53 State Street
Boston, MA 02109
Tel: (617) 248-5000
Fax: (617) 248-4000

RECORDATION FORM COVER SHEET PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Hewlett Packard Company

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Lumileds Lighting U.S., LLC

Internal Address:

Street Address: 370 W. Trimble Road

City: San Jose State: CA Country: USA

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other (Termination of Security Interest)

Execution Date: August 20, 2004

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/350,956

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Elizabeth E. Nugent

Internal Address: Choate, Hall & Stewart

Street Address: Exchange Place
53 State Street

City: Boston State: MA ZIP: 02109

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)

\$40.00

☒ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number: 03-1721

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Elizabeth E. Nugent

Name of Person Signing



Signature

August 30, 2004

Date

Total number of pages including cover sheet, attachments, and document: 2

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents and Trademarks, Mail Stop Assignment Recordation Service
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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with the United States Postal Service as first class mail in
an envelope addressed to: Commissioner For Patents,
P.O. Box 1450, Alexandria, VA 22313

on 8/30/04



7 DEC 2004

ASSIGNMENT

WHEREAS, Hewlett Packard Company, a Delaware corporation having its principal place of business at 3000 Hanover Street, Palo Alto, CA 94304-1185 USA (hereinafter "Hewlett Packard"), is the owner, by assignment, of the following United States Patents and Patent Applications (hereinafter "the Applications"):

Application Nos.
09/167,795, now U.S. Patent 6,501,091
09/350,956
10/329,909
10/329,596
PCT US99/07219
EPO 99915206.9
JP 2000-541740

AND WHEREAS, LUMILEDS LIGHTING U.S., LLC a Delaware Limited Liability Company having its principal place of business at 370 W. Trimble Road, San Jose, CA 95131, (hereinafter "Lumileds Lighting"), desires to acquire the entire right, title and interest, including the right of priority, in, to and under the Applications.

NOW, THEREFOR, Hewlett Packard does hereby assign and transfer to Lumileds Lighting the entire right, title, and interest, including the right of priority, in, to and under the Applications and the inventions and improvements set forth therein, and any and all continuations, continuations-in-part (C-I-P's) divisionals, and renewals of and substitutes for the Applications, and any and all patents of the United States and of countries foreign thereto that may be granted thereon or therefore; and any reissues, or reexaminations, or extensions thereof.

IN WITNESS WHEREOF, Hewlett Packard and Lumileds Lighting have caused this Assignment to be executed by their authorized representatives. Each such authorized representative hereby declares that all statements made herein of their own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of any patents related thereto.

HEWLETT PACKARD COMPANY

LUMILEDS LIGHTING U.S., LLC

By: Charles N. Charnas

By: Lou Dardik

Printed Name: Charles N. Charnas

Printed Name: Lou Dardik

Title: Vice President, Deputy General Counsel
And Assistant Secretary

Title: Intellectual Property Mgr.

Date: Aug. 20, 2004

Date: August 26th, 2004

7 DEC 2004

CHOATE HALL & STEWART

VENDOR #	VENDOR NAME				CHECK #	CHECK DATE
11598	Comm of Patents & Trademarks				342365	08/30/2004
VOUCHER #	INV DATE	INVOICE #	COMMENTS	GROSS	DISCOUNT	AMOUNT PAID
320125	08/30/2004	0830044	0492611-0350	40.00	0.00	40.00
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BOSTON, MA

CHOATE HALL & STEWART
EXCHANGE PLACE
BOSTON, MA 02109-2891
(617) 248-5000

CHECK NUMBER: **342365**
CHECK DATE: **08/30/2004**
VENDOR NUMBER: **11598**

5-13
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PAY TO THE ORDER OF

VOID AFTER 180 DAYS

Comm of Patents & Trademarks
Alexandria, VA 22313-1450

TWO SIGNATURES REQUIRED IN EXCESS OF \$20000

William P. Kelly
P.C.

⑈ 342365 ⑈ ⑆ 011000138 ⑆ 0005422720 ⑈

7 DEC 2004

Date: August 30, 2004

The Patent and Trademark Office stamping sets forth and receipt date (or both the receipt date and the Serial Number) of a patent application identified as follows:

Applicant(s): Bawendi, et al.

Serial No: 09/350,956

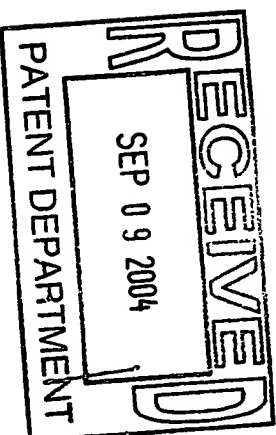
Filing Date: July 9, 1999

Title: Quantum Dot White and Colored Light-Emitting Devices

- 1) Recordation Form Cover Sheet;
- 2) Copy of Executed Assignment;
- 3) Check in the amount of \$40.00; and
- 4) Return Receipt Postcard.

Attorney: EE

Attorney Docket No. 0492611-0350 ✓



7 DEC 2004

EE/VR1

**UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION**

PATENT NO.: U.S. 6,803,719 ~~01~~

DATED: October 12, 2004

INVENTOR: Miller, et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the cover page, under Inventors, please correct the spelling of the third inventor as follows:

--Moungi G. Bawendi--.

On the cover page, under Assignee, please change the Assignee to read:

-- Massachusetts Institute of Technology and Lumileds Lighting U.S., LLC--

Form PTO-1050

Mailing address of sender:

Elizabeth E. Nugent, Ph.D.

Choate, Hall & Stewart

Exchange Place

53 State Street

Boston, MA 02109

Patent No. U.S. 6,803,719

**UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION**

PATENT NO.: U.S. 6,803,719 **B1**

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Patent No. U.S. 6,803,719